



SECURITIES ACT FORM 2

**RENEWAL OF REGISTRATION OF A PERSON
AS A DEALER OR ADVISOR**

**RENEWAL OF REGISTRATIONS OF
INDIVIDUALS REGISTERED ON BEHALF OF THE APPLICANT**

REGISTERED

_____,
20____

Deputy Superintendent of Securities
Iqaluit, Nunavut

Application is made for renewal of registration under the *Securities Act* in the category of:

Dealer Categories

- ☐ Investment Dealer
☐ Mutual Funds Dealer
☐ Scholarship Plans Dealer
☐ Restricted Dealer
☐ Other _____

(Clearly state nature of renewal desired)

Advisor Categories

- ☐ Investment Counsel
☐ Portfolio Manager
☐ Investment Counsel/Portfolio Manager
☐ Other _____

(Clearly state nature of renewal desired)

1. Name _____

2. Head Office Business Address:

Postal code: _____

Telephone No: _____

Fax No: _____

3. Address for service **in Nunavut**: _____

Instruction: Answer “Yes” or “No” to the following question. If “Yes”, give full particulars of every change, using the same numbering for each item of change as it appears in that application form.

4. Have there been any changes in the facts set forth in the latest application on record in the office of the Superintendent? _____

5. Application is further made for renewal of the current registration under the Securities Act. of each of the individuals registered on behalf of the dealer or adviser on December 31st of this year.
6. I certify that full particulars of all changes in the information set out in the latest application for registration of each individual referred to in item 5 have been filed with the Superintendent or are attached to this application.

DATED at _____ on _____, 20____.

(month) (day) (year)

(Signature of director, officer,
partner or sole proprietor)

(Official capacity)